

# Construcciones de Códigos MSRD (Maximum Sum-Rank Distance)

Umberto Martínez-Peñas

Geometría Algebraica: Singularidades e Invariantes,  
Universidad de La Laguna, 2021

# The Hamming metric

- Let  $\mathbb{F}_q$  denote the **finite field** of size  $q$ .
- Let

$$\mathbf{c} = (c_1, c_2, \dots, c_n) \in \mathbb{F}_q^n.$$

- We define the **Hamming weight** of  $\mathbf{c}$  as

$$\text{wt}_H(\mathbf{c}) = |\{i \in [n] \mid c_i \neq 0\}|.$$

- We define the **Hamming distance** between  $\mathbf{c}, \mathbf{d} \in \mathbb{F}_q^n$  as

$$d_H(\mathbf{c}, \mathbf{d}) = \text{wt}_H(\mathbf{c} - \mathbf{d}) = |\{i \in [n] \mid c_i \neq d_i\}|.$$

- **Singleton bound**: For a code  $\mathcal{C} \subseteq \mathbb{F}_q^n$  with  $k = \log_q |\mathcal{C}|$ ,

$$d_H(\mathcal{C}) \leq n - k + 1.$$

# Reed-Solomon codes

- Let  $1 \leq k \leq n \leq q$ , and let  $a_1, a_2, \dots, a_n \in \mathbb{F}_q$  be **pair-wise distinct**.
- **Reed-Solomon codes**:  $(n, k)$  codes generated by

$$G_{n,k}^{RS}(\mathbf{a}) = \begin{pmatrix} 1 & 1 & 1 & \dots & 1 \\ a_1 & a_2 & a_3 & \dots & a_n \\ a_1^2 & a_2^2 & a_3^2 & \dots & a_n^2 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ a_1^{k-1} & a_2^{k-1} & a_3^{k-1} & \dots & a_n^{k-1} \end{pmatrix} \in \mathbb{F}_q^{k \times n}.$$

- As **evaluation codes**:  $k$ -dimensional linear codes

$$C_{n,k}^{RS}(\mathbf{a}) = \{F(\mathbf{a}) \mid F \in \mathbb{F}_q[x], \deg(F) < k\} \subseteq \mathbb{F}_q^n.$$

- They are **MDS** (but  $q \geq n$ ):

$$d_H(C_{n,k}^{RS}(\mathbf{a})) = n - k + 1.$$

# The rank metric

- Let  $M : \mathbb{F}_{q^m}^n \longrightarrow \mathbb{F}_q^{m \times n}$  denote the **matrix representation** map:

$$M(c_1, c_2, \dots, c_n) = \begin{pmatrix} c_{11} & c_{12} & \dots & c_{1n} \\ c_{21} & c_{22} & \dots & c_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ c_{m1} & c_{m2} & \dots & c_{mn} \end{pmatrix}.$$

- We define the **rank distance** between  $\mathbf{c}, \mathbf{d} \in \mathbb{F}_{q^m}^n$  as

$$d_R(\mathbf{c}, \mathbf{d}) = \text{Rk}(M(\mathbf{c}) - M(\mathbf{d})).$$

- The same **Singleton bound** applies ( $k = \log_{q^m} |\mathcal{C}|$ ):

$$d_R(\mathcal{C}) \leq n - k + 1.$$

# Gabidulin Codes

- Let  $1 \leq k \leq n \leq m$  and let  $\beta_1, \beta_2, \dots, \beta_n \in \mathbb{F}_{q^m}$  be  $\mathbb{F}_q$ -lin. indep.
- **Gabidulin codes:**  $(n, k)$  codes generated by

$$G_{n,k}^{Gab}(\beta) = \begin{pmatrix} \beta_1 & \beta_2 & \beta_3 & \dots & \beta_n \\ \beta_1^q & \beta_2^q & \beta_3^q & \dots & \beta_n^q \\ \beta_1^{q^2} & \beta_2^{q^2} & \beta_3^{q^2} & \dots & \beta_n^{q^2} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ \beta_1^{q^{k-1}} & \beta_2^{q^{k-1}} & \beta_3^{q^{k-1}} & \dots & \beta_n^{q^{k-1}} \end{pmatrix} \in \mathbb{F}_{q^m}^{k \times n}.$$

- As **evaluation codes:**  $k$ -dimensional linear codes

$$C_{n,k}^{Gab}(\beta) = \{F(\beta) \mid F \in \mathcal{L}_q \mathbb{F}_{q^m}[X], \deg_q(F) < k\} \subseteq \mathbb{F}_{q^m}^n.$$

- They are **MRD** (but  $m \geq n$ , thus  $q^m \geq q^n$ ):

$$d_R(C_{n,k}^{Gab}(\beta)) = n - k + 1.$$

# The Sum-Rank Metric

- Let

$$\mathbf{c} = (\mathbf{c}^{(1)}, \mathbf{c}^{(2)}, \dots, \mathbf{c}^{(g)}) \in \mathbb{F}_{q^m}^n,$$
$$n = n_1 + n_2 + \dots + n_g,$$

where  $\mathbf{c}^{(i)} \in \mathbb{F}_{q^m}^{n_i}$ , for  $i = 1, 2, \dots, g$ .

- We define the **sum-rank weight** of  $\mathbf{c} \in \mathbb{F}_{q^m}^n$  as

$$\text{wt}_{SR}(\mathbf{c}) = \sum_{i=1}^g \text{Rk}(M_i(\mathbf{c}^{(i)})).$$

- We define the **sum-rank distance** between  $\mathbf{c}, \mathbf{d} \in \mathbb{F}_{q^m}^n$  as

$$d_{SR}(\mathbf{c}, \mathbf{d}) = \text{wt}_{SR}(\mathbf{c} - \mathbf{d}).$$

# The Sum-Rank Metric

- Let

$$\mathbf{c} = (\mathbf{c}^{(1)}, \mathbf{c}^{(2)}, \dots, \mathbf{c}^{(g)}) \in \mathbb{F}_{q^m}^n,$$
$$n = n_1 + n_2 + \dots + n_g,$$

where  $\mathbf{c}^{(i)} \in \mathbb{F}_{q^{n_i}}^{n_i}$ , for  $i = 1, 2, \dots, g$ . Note that:

- If  $m = n_1 = n_2 = \dots = n_g = 1$ , then  $\mathbf{c}^{(i)} \in \mathbb{F}_q^1$ ,  $n = g$  and

$$\text{wt}_{SR}(\mathbf{c}) = \sum_{i=1}^n \text{Rk}(\mathbf{c}^{(i)}) = |\{i \in [n] \mid \mathbf{c}^{(i)} \neq 0\}| = \text{wt}_H(\mathbf{c}).$$

- If  $g = 1$ , then  $n = n_1$  and

$$\text{wt}_{SR}(\mathbf{c}) = \sum_{i=1}^1 \text{Rk}(M_i(\mathbf{c}^{(i)})) = \text{Rk}(M_1(\mathbf{c}^{(1)})) = \text{wt}_R(\mathbf{c}).$$

# The Sum-Rank Metric

A few remarks:

- The **sum-rank metric** in  $\mathbb{F}_{q^m}^n$  depends on the **base field** and **length partition**:

$$\mathbb{F}_q \quad \text{and} \quad n = n_1 + n_2 + \cdots + n_g.$$

- We could (but we won't) consider **different numbers** of rows too:

$$\mathbb{F}_q^{m_1 \times n_1} \times \mathbb{F}_q^{m_2 \times n_2} \times \cdots \times \mathbb{F}_q^{m_g \times n_g} \quad \not\cong \mathbb{F}_{q^m}^n.$$

- The same **Singleton bound** applies ( $k = \log_{q^m} |\mathcal{C}|$ ):

$$d_{SR}(\mathcal{C}) \leq n - k + 1.$$

Codes attaining this bound will be called **MSRD codes**.

- **Any MRD code**  $\mathcal{C} \subseteq \mathbb{F}_{q^m}^n$  is **MSRD** for any length partition, but

$$q^m \geq q^n.$$



- Any MRD code  $\mathcal{C} \subseteq \mathbb{F}_{q^m}^n$  is MSRD for any length partition, but



$$q^m \geq q^n.$$

- So, what is the problem?

## Example: [Locally repairable codes](#) (LRCs)

- Started by the people at [Microsoft](#) for [Windows Azure](#):
  - 📄 P. Gopalan, C. Huang, H. Simitci, and S. Yekhanin, “On the locality of codeword symbols,” *IEEE Trans. Info. Theory*, vol. 58, no. 11, pp. 6925–6934, Nov 2012.
- [PMDS codes](#) are the best, information-theoretically, and were started by [IBM](#) and [Microsoft](#):
  - 📄 M. Blaum, J. L. Hafner, and S. Hetzler, “Partial-MDS codes and their application to RAID type of architectures,” *IEEE Trans. Info. Theory*, vol. 59, no. 7, pp. 4510–4519, July 2013.
  - 📄 P. Gopalan, C. Huang, B. Jenkins, and S. Yekhanin, “Explicit maximally recoverable codes with locality,” *IEEE Trans. Info. Theory*, vol. 60, no. 9, pp. 5245–5256, Sept 2014.

Example: [Locally repairable codes \(LRCs\)](#)

- Gabidulin codes (or [any MRD code](#)) were known to give [optimal LRCs](#) and [PMDS codes](#) for arbitrary parameters:
  -  A. S. Rawat, O. O. Koyluoglu, N. Silberstein, and S. Vishwanath, “Optimal locally repairable and secure codes for distributed storage systems,” *IEEE Trans. Info. Theory*, vol. 60, no. 1, pp. 212–236, 2014.
- However, these are [not implemented](#), and considered [impractical](#).
- [Tamo](#) and [Barg](#) received an IEEE IT-Soc. paper award for a “weaker” family of [optimal LRCs \(not PMDS\)](#), which were tested at [Microsoft](#):
  -  I. Tamo and A. Barg, “A family of optimal locally recoverable codes,” *IEEE Trans. Info. Theory*, vol. 60, no. 8, pp. 4661–4676, Aug 2014.

# Field sizes

- The big difference is in the **field sizes**.
- In **Distributed Storage** (similarly in **Network Coding**), messages or files are **not exactly** codewords in the code  $\mathcal{C} \subseteq \mathbb{F}_{q^m}^n$ .
- Our  $k$  **information symbols** form quite large vectors

$$\mathbf{x} \in \mathbb{F}_{2^M}^k,$$

where  $M = 10^3 \cdot 8$  (MB),  $M = 10^6 \cdot 8$  (GB), etcetera.

- Our **code** lives in  $\mathbb{F}_{2^N}^n$ , with  $N|M$ , thus the **encoding** is

$$\mathbf{c} = \mathbf{x} \cdot \mathbf{G} \in \mathbb{F}_{2^M}^n.$$

- If our code is  $\mathbb{F}_{2^N}$ -linear, then **erasure correction** requires about

$$\frac{M}{N} \cdot n^2 \cdot N^2$$

operations in  $\mathbb{F}_2$  (**XORs**).

# Field sizes

- The big difference is in the **field sizes**.
- In **Distributed Storage** (similarly in **Network Coding**), messages or files are **not exactly** codewords in the code  $\mathcal{C} \subseteq \mathbb{F}_{q^m}^n$ .
- Our  $k$  **information symbols** form quite large vectors

$$\mathbf{x} \in \mathbb{F}_{2^M}^k,$$

where  $M = 10^3 \cdot 8$  (MB),  $M = 10^6 \cdot 8$  (GB), etcetera.

- Our **code** lives in  $\mathbb{F}_{2^N}^n$ , with  $N|M$ , thus the **encoding** is

$$\mathbf{c} = \mathbf{x} \cdot \mathbf{G} \in \mathbb{F}_{2^M}^n.$$

- If our code is  $\mathbb{F}_{2^N}$ -linear, then **erasure correction** requires about

$$M \cdot N \cdot n^2$$

operations in  $\mathbb{F}_2$  (**XORs**).

- MRD-based LRCs  $\mathcal{C} \subseteq \mathbb{F}_{q^m}^n = \mathbb{F}_{2^N}^n$  require

$$N \geq n.$$

- Therefore, their computational complexity over  $\mathbb{F}_2$  is about

$$M \cdot N \cdot n^2 \approx M \cdot n^3.$$

- Reed-Solomon codes and Tamo-Barg codes  $\mathcal{C} \subseteq \mathbb{F}_{2^N}^n$  only require

$$2^N \approx n, \quad \text{that is} \quad N \approx \log_2(n).$$

- Therefore, their computational complexity over  $\mathbb{F}_2$  is about

$$M \cdot N \cdot n^2 \approx M \cdot n^2 \cdot \log_2(n).$$

- To simplify things, ideally, the **size** of field of **linearity**

$$q^m$$

of our codes  $\mathcal{C} \subseteq \mathbb{F}_{q^m}^n$  should be **polynomial** in  $n$ .

- **Linear field sizes**  $q^m \approx n$  are preferable.
- **Exponential field sizes**  $q^m \approx q^n$  are not practical.
- **MRD codes** require exponential field sizes.
- To construct **PMDS codes**, linear field sizes are not possible:



S. Gopi, V. Guruswami, and S. Yekhanin.

On maximally recoverable local reconstruction codes.

*Electr. Colloq. Comp. Complexity (ECCC)*, 24(183), 2017.

# Linearized Reed-Solomon Codes

- MSRDC codes yield PMDC codes:



U. Martínez-Peñas and F. R. Kschischang.

Universal and dynamic locally repairable codes with maximal recoverability via sum-rank codes.

*IEEE Trans. Info. Theory*, 65(12):7790–7805, 2019.

- There exist MSRDC codes with polynomial field sizes (linearized Reed-Solomon codes):



U. Martínez-Peñas,

Skew and linearized Reed-Solomon codes and maximum sum rank distance codes over any division ring.

*Journal of Algebra*, Vol. 504, pp. 587–612, 2018.



# Linearized Reed-Solomon Codes

- Fix  $a \in \mathbb{F}_{q^m}$ , let  $\sigma(a) = a^q$ , and define its  $i$ th norm as

$$N_i(a) = \sigma^{i-1}(a)\sigma^{i-2}(a)\cdots\sigma(a)a = a^{\frac{q^i-1}{q-1}}.$$

- Define the  $\mathbb{F}_q$ -linear operator  $\mathcal{D}_a^i : \mathbb{F}_{q^m} \rightarrow \mathbb{F}_{q^m}$  by

$$\mathcal{D}_a^i(\beta) = \sigma^i(\beta)N_i(a) = \beta^{q^i} a^{\frac{q^i-1}{q-1}}.$$

- We evaluate a skew polynomial  $F = \sum_{i=0}^{k-1} F_i x^i \in \mathbb{F}_{q^m}[x; \sigma]$  at  $(a, \beta) \in \mathbb{F}_{q^m}^2$  as

$$F^{\mathcal{D}_a}(\beta) = \sum_{i=0}^{k-1} F_i \mathcal{D}_a^i(\beta) = \sum_{i=0}^{k-1} F_i \beta^{q^i} a^{\frac{q^i-1}{q-1}}.$$

# Linearized Reed-Solomon Codes

- Assume  $\beta_1, \beta_2, \dots, \beta_m \in \mathbb{F}_{q^m}$  form a **basis** over  $\mathbb{F}_q$ , and
- $N_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\mathbf{a}_i \mathbf{a}_j^{-1}) \neq 1$  for  $1 \leq i < j \leq g$  (**pair-wise non-conjugate**).
- Define the  $(n, k)$  **linearized Reed-Solomon code** as

$$\mathcal{C}_{n,k}^L(\mathbf{a}, \beta) = \{F^{\mathcal{D}_{\mathbf{a}}}(\beta) \in \mathbb{F}_{q^m}^n \mid F \in \mathbb{F}_{q^m}[x; \sigma], \deg(F) < k\}.$$

- A **generator matrix** is  $G_{n,k}^L(\mathbf{a}, \beta) =$

$$\left( \begin{array}{cccc|cccc} \beta_1 & \beta_2 & \dots & \beta_{n_1} & \dots & \beta_1 & \beta_2 & \dots & \beta_{n_g} \\ \mathcal{D}_{\mathbf{a}_1}(\beta_1) & \mathcal{D}_{\mathbf{a}_1}(\beta_2) & \dots & \mathcal{D}_{\mathbf{a}_1}(\beta_{n_1}) & \dots & \mathcal{D}_{\mathbf{a}_g}(\beta_1) & \mathcal{D}_{\mathbf{a}_g}(\beta_2) & \dots & \mathcal{D}_{\mathbf{a}_g}(\beta_{n_g}) \\ \mathcal{D}_{\mathbf{a}_1}^2(\beta_1) & \mathcal{D}_{\mathbf{a}_1}^2(\beta_2) & \dots & \mathcal{D}_{\mathbf{a}_1}^2(\beta_{n_1}) & \dots & \mathcal{D}_{\mathbf{a}_g}^2(\beta_1) & \mathcal{D}_{\mathbf{a}_g}^2(\beta_2) & \dots & \mathcal{D}_{\mathbf{a}_g}^2(\beta_{n_g}) \\ \vdots & \vdots & \ddots & \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ \mathcal{D}_{\mathbf{a}_1}^{k-1}(\beta_1) & \mathcal{D}_{\mathbf{a}_1}^{k-1}(\beta_2) & \dots & \mathcal{D}_{\mathbf{a}_1}^{k-1}(\beta_{n_1}) & \dots & \mathcal{D}_{\mathbf{a}_g}^{k-1}(\beta_1) & \mathcal{D}_{\mathbf{a}_g}^{k-1}(\beta_2) & \dots & \mathcal{D}_{\mathbf{a}_g}^{k-1}(\beta_{n_g}) \end{array} \right).$$

- They require  $q > g$  and  $m \geq \max_i n_i$ , and they are **MSRD**:

$$d_{SR}(\mathcal{C}_{n,k}^L(\mathbf{a}, \beta)) = n - k + 1.$$

# Linearized Reed-Solomon Codes

A closer look at the **generator matrix**  $G_{n,k}^L(\mathbf{a}, \beta)$ :

$$\left( \begin{array}{cccc|cccc} \beta_1 & \beta_2 & \dots & \beta_{n_1} & \dots & \beta_1 & \beta_2 & \dots & \beta_{n_g} \\ \mathcal{D}_{a_1}(\beta_1) & \mathcal{D}_{a_1}(\beta_2) & \dots & \mathcal{D}_{a_1}(\beta_{n_1}) & \dots & \mathcal{D}_{a_g}(\beta_1) & \mathcal{D}_{a_g}(\beta_2) & \dots & \mathcal{D}_{a_g}(\beta_{n_g}) \\ \mathcal{D}_{a_1}^2(\beta_1) & \mathcal{D}_{a_1}^2(\beta_2) & \dots & \mathcal{D}_{a_1}^2(\beta_{n_1}) & \dots & \mathcal{D}_{a_g}^2(\beta_1) & \mathcal{D}_{a_g}^2(\beta_2) & \dots & \mathcal{D}_{a_g}^2(\beta_{n_g}) \\ \vdots & \vdots & \ddots & \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ \mathcal{D}_{a_1}^{k-1}(\beta_1) & \mathcal{D}_{a_1}^{k-1}(\beta_2) & \dots & \mathcal{D}_{a_1}^{k-1}(\beta_{n_1}) & \dots & \mathcal{D}_{a_g}^{k-1}(\beta_1) & \mathcal{D}_{a_g}^{k-1}(\beta_2) & \dots & \mathcal{D}_{a_g}^{k-1}(\beta_{n_g}) \end{array} \right).$$

We may write  $G_{n,k}^L(\mathbf{a}, \beta) = (G_1 | G_2 | \dots | G_g)$ , where

$$G_i = \left( \begin{array}{ccc} \beta_1 & \beta_2 & \dots & \beta_{n_i} \\ \beta_1^q a_i & \beta_2^q a_i & \dots & \beta_{n_i}^q a_i \\ \beta_1^{q^2} a_i^{q+1} & \beta_2^{q^2} a_i^{q+1} & \dots & \beta_{n_i}^{q^2} a_i^{q+1} \\ \vdots & \vdots & \ddots & \vdots \\ \beta_1^{q^{k-1}} a_i^{q^{k-2} + \dots + q + 1} & \beta_2^{q^{k-1}} a_i^{q^{k-2} + \dots + q + 1} & \dots & \beta_{n_i}^{q^{k-1}} a_i^{q^{k-2} + \dots + q + 1} \end{array} \right).$$

# Linearized Reed-Solomon Codes

Note that:

- If  $m = n_1 = n_2 = \dots = n_g = 1$ , then

$$\mathcal{D}_a^i(\beta) = \sigma^i(\beta)N_i(\mathbf{a}) = \beta \mathbf{a}^i.$$

( $\implies$  Classical and generalized Reed-Solomon codes)

- If  $g = 1$  and  $\mathbf{a} = 1$ , then

$$\mathcal{D}_a^i(\beta) = \sigma^i(\beta)N_i(1) = \beta^{q^i}.$$

( $\implies$  Gabidulin codes)

# Bounds for MSRD codes

- Assuming  $r = n_1 = n_2 = \dots = n_g$ , we have MSRD codes with **field sizes**

$$q^m \approx (g + 1)^r \approx (g + 1)^{(n/g)}.$$

- Do we have any **lower bounds** on  $q^m$ ?
- We have **upper bounds** on  $g$  based on  $q$ ,  $m$  and  $n$ :



E. Byrne, H. Gluesing-Luerssen, and A. Ravagnani.  
Fundamental properties of sum-rank metric codes.  
2020. Preprint: [arXiv:2010.02779](https://arxiv.org/abs/2010.02779).

# Bounds for MSRD codes

Assume an MSRD code  $\mathcal{C} \subseteq \mathbb{F}_{q^m}^n$  exists, with  $r = n_1 = n_2 = \dots = n_g \leq m$  and  $h = d_{SR}(\mathcal{C}) - 1$ . Then

- In general,

$$g \leq \left\lfloor \frac{h-2}{r} \right\rfloor + \left\lfloor (q-1) \cdot \frac{q^m}{q^r-1} \right\rfloor + 1. \quad (1)$$

- If  $h = 2$ , then

$$g \leq \left\lfloor (q-1) \cdot \frac{q^m+1}{q^r-1} \right\rfloor. \quad (2)$$

- If  $m = r$ , then

$$g \leq \left\lfloor \frac{h-2}{r} \right\rfloor + q + 1. \quad (3)$$

# Bounds for MSRD codes

Assume an MSRD code  $\mathcal{C} \subseteq \mathbb{F}_{q^m}^n$  exists, with  $r = n_1 = n_2 = \dots = n_g \leq m$  and  $h = d_{SR}(\mathcal{C}) - 1$ . Then

- In general,

$$q^m \geq \frac{q^r - 1}{q - 1} \cdot \left( g - \left\lfloor \frac{h - 2}{r} \right\rfloor - 1 \right). \quad (4)$$

- If  $h = 2$ , then

$$q^m \geq \frac{q^r - 1}{q - 1} \cdot g - 1. \quad (5)$$

- If  $m = r$ , then

$$q \geq g - \left\lfloor \frac{h - 2}{r} \right\rfloor - 1. \quad (6)$$

# New MSR codes

- We are going to present now several **new** linear **MSRD codes** with **smaller field sizes**.
- We are going to consider different **evaluation vectors**

$$\beta = (\beta_1, \dots, \beta_r | \dots | \beta_{(\mu-1)r+1}, \dots, \beta_{\mu r}) \in \mathbb{F}^{\mu r}.$$

- For these, we want to see **when** we obtain a linear **MSRD code** from  $G_{n,h}^L(\mathbf{a}, \beta) = (G_1 | G_2 | \dots | G_\ell)$ , where

$$G_i = \begin{pmatrix} \beta_1 & \beta_2 & \dots & \beta_{\mu r} \\ \beta_1^q \mathbf{a}_i & \beta_2^q \mathbf{a}_i & \dots & \beta_{\mu r}^q \mathbf{a}_i \\ \beta_1^{q^2} \mathbf{a}_i^{q+1} & \beta_2^{q^2} \mathbf{a}_i^{q+1} & \dots & \beta_{\mu r}^{q^2} \mathbf{a}_i^{q+1} \\ \vdots & \vdots & \ddots & \vdots \\ \beta_1^{q^{h-1}} \mathbf{a}_i^{q^{h-2} + \dots + q + 1} & \beta_2^{q^{h-1}} \mathbf{a}_i^{q^{h-2} + \dots + q + 1} & \dots & \beta_{\mu r}^{q^{h-1}} \mathbf{a}_i^{q^{h-2} + \dots + q + 1} \end{pmatrix}.$$



# New MSRD codes

- If we look again at  $G_{n,h}^L(\mathbf{a}, \beta) = (G_1 | G_2 | \dots | G_\ell)$ , where

$$G_i = \begin{pmatrix} \beta_1 & \beta_2 & \dots & \beta_{\mu r} \\ \beta_1^q \mathbf{a}_i & \beta_2^q \mathbf{a}_i & \dots & \beta_{\mu r}^q \mathbf{a}_i \\ \beta_1^{q^2} \mathbf{a}_i^{q+1} & \beta_2^{q^2} \mathbf{a}_i^{q+1} & \dots & \beta_{\mu r}^{q^2} \mathbf{a}_i^{q+1} \\ \vdots & \vdots & \ddots & \vdots \\ \beta_1^{q^{h-1}} \mathbf{a}_i^{q^{h-2} + \dots + q + 1} & \beta_2^{q^{h-1}} \mathbf{a}_i^{q^{h-2} + \dots + q + 1} & \dots & \beta_{\mu r}^{q^{h-1}} \mathbf{a}_i^{q^{h-2} + \dots + q + 1} \end{pmatrix},$$

- we see that we are considering the sum-rank metric for the **length partition**:

$$n = (\ell\mu)r = \underbrace{r + r + \dots + r}_{\ell\mu \text{ times}}$$

- Thus, we have  $g = \ell\mu$  rank blocks, where

$$\ell = q - 1.$$

# New MSRD codes

Let  $\mathcal{C} \subseteq \mathbb{F}_{q^m}^n$  be the linear code with **parity-check matrix**

$G_{n,h}^L(\mathbf{a}, \boldsymbol{\beta}) = (G_1 | G_2 | \dots | G_\ell)$  as above.

## Theorem

Define the  $\mathbb{F}_q$ -linear **subspace**

$$\mathcal{H}_i = \langle \beta_{(i-1)r+1}, \beta_{(i-1)r+2}, \dots, \beta_{ir} \rangle_{\mathbb{F}_q} \subseteq \mathbb{F}_{q^m},$$

for  $i = 1, 2, \dots, \mu$ . Then  $\mathcal{C}$  is an **MSRD code** if, and only if, the following two conditions hold:

- $\dim_{\mathbb{F}_q}(\mathcal{H}_i) = r$ , for  $i = 1, 2, \dots, \mu$ , and
- $\dim_{\mathbb{F}_q}(\sum_{i \in \Gamma} \mathcal{H}_i) = r|\Gamma|$ , for any set  $\Gamma \subseteq [\mu]$  of size  $|\Gamma| = \min\{h, \mu\}$ .

- If  $t = \min\{h, \mu\}$ , we want  $\mu$  subspaces of  $\mathbb{F}_q^m$  of dimension  $r$  such that any  $t$  of them are in **direct sum**. ( $t = 2 \implies$  partial spreads.)
- Recall that the **field of linearity** is  $\mathbb{F}_{q^m}$ .
- So we want **small  $q^m$**  relative to

$$g = \ell\mu = (q - 1)\mu \quad \text{and} \quad r.$$

# New MSRD codes

- Let  $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_r) \in \mathbb{F}_{q^r}^r$  be a **basis** of  $\mathbb{F}_{q^r}$  over  $\mathbb{F}_q$ .
- Assume that  $m = r\rho$  (hence  $\mathbb{F}_{q^r} \subseteq \mathbb{F}_{q^m}$ ).
- Choose  $\gamma = (\gamma_1, \gamma_2, \dots, \gamma_\mu) \in \mathbb{F}_{q^m}^\mu$ , and define

$$(\beta_1, \beta_2, \dots, \beta_{\mu r}) = (\alpha_1 \gamma_1, \dots, \alpha_r \gamma_1 \mid \dots \mid \alpha_1 \gamma_\mu, \dots, \alpha_r \gamma_\mu) \in \mathbb{F}_{q^m}^{\mu r}.$$

## Theorem

*The vector  $(\beta_1, \beta_2, \dots, \beta_{\mu r}) \in \mathbb{F}_{q^m}^{\mu r}$  satisfies **Conditions 1 and 2** in the previous Theorem if, and only if, the vector  $(\gamma_1, \gamma_2, \dots, \gamma_\mu)$  is **t-wise independent** over  $\mathbb{F}_{q^r}$ , for  $t = \min\{h, \mu\}$ .*

# New MSRD codes

- Let  $\delta \in \mathbb{F}_{q^m}^\rho$  be a **basis** of  $\mathbb{F}_{q^m} = \mathbb{F}_{q^{r\rho}}$  over  $\mathbb{F}_{q^r}$ .
- Consider the **matrix representation** map  $M_\delta : \mathbb{F}_{q^{r\rho}}^\mu \longrightarrow \mathbb{F}_{q^r}^{\rho \times \mu}$ .
- For the previous  $\gamma = (\gamma_1, \gamma_2, \dots, \gamma_\mu) \in \mathbb{F}_{q^m}^\mu$ , define

$$H_\gamma = M_\delta(\gamma) \in \mathbb{F}_{q^r}^{\rho \times \mu}.$$

## Lemma

*The vector  $\gamma \in \mathbb{F}_{q^m}^\mu$  is **t-wise independent** over  $\mathbb{F}_{q^r}$  if, and only if,  $d_H(\mathcal{C}_\gamma) \geq t + 1$ , for the  $\mathbb{F}_{q^r}$ -linear code*

$$\mathcal{C}_\gamma = \left\{ \mathbf{y} \in \mathbb{F}_{q^r}^\mu \mid \mathbf{y}H_\gamma = \mathbf{0} \right\} \subseteq \mathbb{F}_{q^r}^\mu.$$

- What does all this mean?
- Choose an  $\mathbb{F}_{q^r}$ -linear code  $\mathcal{C}_\gamma \subseteq \mathbb{F}_{q^r}^\mu$  with

$$d_H(\mathcal{C}_\gamma) \geq t + 1, \quad \text{where } t = \min\{h, \mu\},$$

- with **small redundancy** (co-dimension), which is  $\rho$ , since

$$q^m = q^{\rho r}.$$

- The number of **rank blocks** is

$$g = \ell\mu = (q - 1)\mu,$$

and  $\mu$  is the length of the code.

**First choice:** Trivial codes.

- Choose  $\mu = \rho = 1$ , thus  $\gamma = 1 \in \mathbb{F}_{q^r}^1$ , and

$$\mathcal{C}_\gamma = \{0\} \subseteq \mathbb{F}_{q^r}^1.$$

- The obtained MSRD code is a **linearized Reed-Solomon code**.
- The **size** of the **field** of linearity is

$$q^m = q^r = (g + 1)^r, \quad \text{that is, } m = r.$$

- Meets *Byrne et al.*'s **bounds** (asymptotically) for  $m = r$  and

$$h = \mathcal{O}(rq).$$

# New MSR codes

Second choice: MDS codes.

- Choose  $\mu \leq q^r + 1$  and  $\rho = t = \min\{h, \mu\}$ .
- Take an MDS code of dimension  $\mu - t$ ,

$$\mathcal{C}_\gamma \subseteq \mathbb{F}_{q^r}^\mu,$$

thus  $d_H(\mathcal{C}_\gamma) = t + 1$ .

- The obtained MSR code satisfies that

$$g = \ell\mu = (q - 1)(q^r + 1).$$

- The size of the field of linearity is

$$q^m = \left( \frac{g}{q-1} - 1 \right)^{\min\left\{h, \frac{g}{q-1}\right\}}, \quad \text{that is, } m = r \min\{h, \mu\}.$$



**Third choice:** Hamming codes ( $h = 2$ ).

- Consider  $1 \leq \rho < \mu$  and choose a **Hamming code**

$$\mathcal{C}_\gamma \subseteq \mathbb{F}_{q^r}^\mu.$$

- In other words, we take the whole **projective space**

$$\mathbb{P}_{\mathbb{F}_{q^r}}(\mathbb{F}_{q^r}^\rho) = \{[\gamma_1], [\gamma_2], \dots, [\gamma_\mu]\}.$$

- The **size** of the **field** of linearity is

$$q^m = q^{r\rho} = \frac{q^r - 1}{q - 1} \cdot g + 1.$$

- Meets *Byrne et al.*'s **bounds** exactly for  $h = 2$  and  $m = \rho r$ .

Fourth choice: BCH codes.

- Set a prescribed distance  $\delta = t + 1$  and choose a BCH code

$$\mathcal{C}_\gamma \subseteq \mathbb{F}_{q^r}^\mu.$$

- The size of the field of linearity is

$$q^m = q^{r\rho} \leq q^r \cdot \left( \frac{g}{q-1} + 1 \right)^{\left\lceil \frac{q^r-1}{q^r} (h-1) \right\rceil}.$$

# New MSRD codes

**Fifth choice:** Algebraic-Geometry codes.

- Using a **Hermitian curve**

$$xq^{\frac{r}{2}+1} - yq^{\frac{r}{2}}z - yzq^{\frac{r}{2}} = 0,$$

the **size** of the **field** of linearity is

$$q^m = (q^r)^{h+g} = \mu^{\frac{2}{3}(h+g)} = \mu^{\frac{1}{3}(2h+\mu^{2/3}-\mu^{1/3})}.$$

- Using a **Suzuki curve**

$$x^{2^s} (yq^r + yxq^{r-1}) = z^{2^s} (zq^r + zxq^{r-1}),$$

the **size** of the **field** of linearity is

$$q^m = (q^r)^{h+g} = \mu^{\frac{1}{2}(h+g)} \leq \mu^{\frac{1}{2} \left( h + \mu^{\frac{3}{4}} - \mu^{\frac{1}{4}} \right)}.$$

**Fifth choice:** Algebraic-Geometry codes.

- Using **García and Stichtenoth's** second tower of curves,

$$x_{i+1}^{q^{\frac{r}{2}}} + x_{i+1} = \frac{x_i^{q^{\frac{r}{2}}}}{x_i^{q^{\frac{r}{2}-1} + 1}},$$

the **size** of the **field** of linearity is

$$q^m = (q^r)^{h_i + g_i} \leq (q^r)^{h_i + q^{\frac{r}{2}}} = \left( \frac{\mu_i}{q^{\frac{r}{2} - 1}} \right)^{\frac{2}{r}} \left( h_i + \frac{\mu_i}{q^{\frac{r}{2} - 1}} \right),$$

for  $i \in \mathbb{Z}_+$ .

# Field size tables

Table for $g = 7, q$ even	$r = 2$		$r = 3$		$r = 4$		$r = 5$		$r = 6$	
Code $\mathcal{C}_\gamma$	$q^m$	$q$	$q^m$	$q$	$q^m$	$q$	$q^m$	$q$	$q^m$	$q$
Trivial $\mathcal{C}_\gamma = \{0\}$ (Lin. RS), $\forall h \geq 1$	$2^6$	$2^3$	$2^9$	$2^3$	$2^{12}$	$2^3$	$2^{15}$	$2^3$	$2^{18}$	$2^3$
MDS, $h = 2$	$2^8$		$2^6$		$2^8$		$2^{10}$		$2^{12}$	
$h = 3$	$2^{12}$	$2^2$	$2^9$	$2$	$2^{12}$	$2$	$2^{15}$	$2$	$2^{18}$	$2$
$h = 4$	$2^{16}$		$2^{12}$		$2^{16}$		$2^{20}$		$2^{24}$	
Hamming, $\rho = 3, h = 2$	$2^6$	$2$	$2^9$	$2$	$2^{12}$	$2$	$2^{15}$	$2$	$2^{18}$	$2$
Pr. BCH, $s = 1, 2, h = 2$	$2^6$		$2^6$		$2^8$		$2^{10}$		$2^{12}$	
$h = 3$	$2^{10}$	$2$	$2^9$	$2$	$2^{12}$	$2$	$2^{15}$	$2$	$2^{18}$	$2$
$h = 4$	$2^{14}$		$2^{12}$		$2^{16}$		$2^{20}$		$2^{24}$	
Best MRD code possible, $\forall h \geq 1$	$2^{14}$	$2$	$2^{28}$	$2$	$2^{42}$	$2$	$2^{56}$	$2$	$2^{70}$	$2$

# Field size tables

Table for $g = 15$ , $q$ even Code $\mathcal{C}_\gamma$	$r = 2$		$r = 3$		$r = 4$		$r = 5$		$r = 6$	
	$q^m$	$q$	$q^m$	$q$	$q^m$	$q$	$q^m$	$q$	$q^m$	$q$
Trivial $\mathcal{C}_\gamma = \{0\}$ (Lin. RS), $\forall h \geq 1$	$2^8$	$2^4$	$2^{12}$	$2^4$	$2^{16}$	$2^4$	$2^{20}$	$2^4$	$2^{24}$	$2^4$
MDS, $h = 2$	$2^8$		$2^{12}$		$2^8$		$2^{10}$		$2^{12}$	
$h = 3$	$2^{12}$	$2^2$	$2^{18}$	$2^2$	$2^{12}$	$2$	$2^{15}$	$2$	$2^{18}$	$2$
$h = 4$	$2^{16}$		$2^{24}$		$2^{16}$		$2^{20}$		$2^{24}$	
Hamming, $\rho = 3$ , $h = 2$	$2^6$	$2$	$2^9$	$2$	$2^{12}$	$2$	$2^{15}$	$2$	$2^{18}$	$2$
Pr. BCH, $s = 1, 2$ , $h = 2$	$2^6$		$2^9$		$2^8$		$2^{10}$		$2^{12}$	
$h = 3$	$2^{10}$	$2$	$2^{15}$	$2$	$2^{12}$	$2$	$2^{15}$	$2$	$2^{18}$	$2$
$h = 4$	$2^{14}$		$2^{21}$		$2^{16}$		$2^{20}$		$2^{24}$	
Best MRD code possible, $\forall h \geq 1$	$2^{30}$	$2$	$2^{45}$	$2$	$2^{60}$	$2$	$2^{75}$	$2$	$2^{90}$	$2$

# Field size tables

Table for $g = 31$ , $q$ even	$r = 2$		$r = 3$		$r = 4$		$r = 5$		$r = 6$	
Code $C_\gamma$	$q^m$	$q$	$q^m$	$q$	$q^m$	$q$	$q^m$	$q$	$q^m$	$q$
Trivial $C_\gamma = \{0\}$ (Lin. RS), $\forall h \geq 1$	$2^{10}$	$2^5$	$2^{15}$	$2^5$	$2^{20}$	$2^5$	$2^{25}$	$2^5$	$2^{30}$	$2^5$
MDS, $h = 2$	$2^8$		$2^{12}$		$2^{16}$		$2^{10}$		$2^{12}$	
$h = 3$	$2^{12}$	$2^2$	$2^{18}$	$2^2$	$2^{24}$	$2^2$	$2^{15}$	$2$	$2^{18}$	$2$
$h = 4$	$2^{16}$		$2^{24}$		$2^{32}$		$2^{20}$		$2^{24}$	
Hamming, $\rho = 3$ , $h = 2$	$2^8$	$2$	$2^9$	$2$	$2^{12}$	$2$	$2^{15}$	$2$	$2^{18}$	$2$
Pr. BCH, $s = 1, 2, 3$ , $h = 2$	$2^8$		$2^9$		$2^{12}$		$2^{10}$		$2^{12}$	
$h = 3$	$2^{14}$	$2$	$2^{15}$	$2$	$2^{20}$	$2$	$2^{15}$	$2$	$2^{18}$	$2$
$h = 4$	$2^{20}$		$2^{21}$		$2^{28}$		$2^{20}$		$2^{24}$	
Best MRD code possible, $\forall h \geq 1$	$2^{62}$	$2$	$2^{93}$	$2$	$2^{124}$	$2$	$2^{155}$	$2$	$2^{186}$	$2$

# Back to PMDS codes

Right now, all the [PMDS codes](#), for general parameters, with [smallest field sizes](#), are given by [MSRD codes](#):

 [U. Martínez-Peñas and F. R. Kschischang.](#)

Universal and dynamic locally repairable codes with maximal recoverability via sum-rank codes.

*IEEE Trans. Info. Theory*, 65(12):7790–7805, 2019.

 [H. Cai, Y. Miao, M. Schwartz, and X. Tang.](#)

A construction of maximally recoverable codes with order-optimal field size. arXiv:2011.13606.

 [U. Martínez-Peñas.](#)

A general family of MSRD codes and PMDS codes with smaller field sizes from extended Moore matrices. arXiv:2011.14109.

 [S. Gopi and V. Guruswami.](#)

Improved maximally recoverable LRCs using skew polynomials. arXiv:2012.07804.